



PATENT
Attorney Docket No. 111972.125

#6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Bahler et al.

Examiner: Unknown

Serial No.: 09/772,651

Group Art Unit: 2122

Filed: January 30, 2001

For: AUTOMATIC CONFIRMATION OF PERSONAL NOTIFICATIONS

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Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

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7/27/01
Date

Laura Galun
Name

REQUEST FOR CORRECTION OF FILING RECEIPT

Attached is a copy of the Official Filing Receipt for the above-identified patent application. The Filing Receipt incorrectly identifies The Attorney Docket No. and Attorney Address. The correct information is as follows:

Attorney Docket No.: 111972.125

Inventor One Address: San Diego, CA, USA

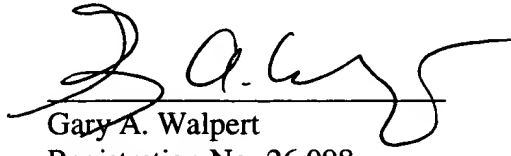
Inventor Two Address: San Diego, CA, USA

The Attached Filing Receipt shows the corrections marked in red ink for your convenience.

It is applicant's belief that no fee is due for correction of this error. If, however, a fee is required, please charge the appropriate fee to Deposit Account No. 08-0219.

Respectfully submitted,

Dated: 7/27/01


Gary A. Walpert
Registration No. 26,098
Attorney for Applicants

Hale and Dorr LLP
60 State Street
Boston, Massachusetts 02109
Tele: (617) 526-6000



UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FEE REC'D	ACTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
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09/772,651

01/30/2001

212

20.00

10651

1008001

9

23

4

HALE AND
DORR
INTELLECTUAL PROPERTY
DEPARTMENT

111972.125

CONFIRMATION NO. 1017

FILING RECEIPT



OC00000006095920

GARY A. WALPERT
Hale & Dorr
60 State Street
Boston, MA 02109

Date Mailed: 05/21/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Lawrence G. Bahler, Residence Not Provided;

Alan L. Higgins, Residence Not Provided;

San Diego, CA, USA
San Diego, CA, USA

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 05/18/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Automatic confirmation of personal notifications

Preliminary Class

HALE & DORR DOCKETING

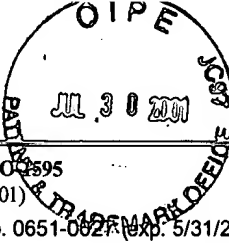
RE: 111972.125

Action Date:

Action to be Taken:

Docketed by: ay On: 6-13-01

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Form PTO-2595
(Rev. 03/01)
OMB No. 0651-0027 Exp. 5/31/2002

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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

Tab settings → → → ▽ ▽ ▽ ▽ ▽ ▽

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Lawrence G. Bahler
Alan L. Higgins

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: July 3, 2001

2. Name and address of receiving party(ies)

Name: ITT Defense, Inc.

Internal Address: 1650 Tysons Blvd.

Suite 1700, McLean, VA 22102

Street Address: 1650 Tysons Blvd.

Suite 1700

City: McLean State: VA Zip: 22102

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/772,651

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Gary A. Walpert

Internal Address: _____

Street Address: 60 State Street

City: Boston State: MA Zip: 02109

6. Total number of applications and patents involved: ☐

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number: 08-0219

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gary A. Walpert (Reg. No. 26,098)

Name of Person Signing

[Signature]
Signature

7/27/01
Date

Total number of pages including cover sheet, attachments, and documents: 6

Mail documents to be recorded with required cover sheet information to:

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ASSIGNMENT

WHEREAS, We, Lawrence G. Bahler and Alan L. Higgins, being citizens of the United States, and residing at 10909 Drano Road, San Diego, CA 92126 and 13001 Corte Susano Poway, San Diego, CA 92064, respectively, are inventors of certain new and useful inventions and discoveries, for which we have made an application for Letters Patent entitled **AUTOMATIC CONFIRMATION OF PERSONAL NOTIFICATIONS**, which application was filed in the United States Patent and Trademark Office on January 30, 2001 and assigned Serial No. 09/772,651; and,

WHEREAS, ITT Defense, Inc., a corporation of the Delaware, whose address is 1650 Tysons Boulevard, Suite 1700, Mclean, VA 22102, and which, together with its successors and assigns is hereinafter called "ASSIGNEE," is desirous of acquiring the title, rights, benefits, and privileges hereinafter recited;

NOW, THEREFORE, for and in consideration of good and valuable consideration furnished by ASSIGNEE to us, receipt and sufficiency of which we hereby acknowledge, we hereby, without reservations:

1. Assign, transfer, and convey to ASSIGNEE the entire right, title, and interest in and to said inventions and discoveries, said application for Letters Patent, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation, continuation-in-part, and convention applications based in whole, or in part, upon said inventions or discoveries, or upon said applications, and any and all Letters Patents, reissues, and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon, or arise from, said inventions, said discoveries, said applications, and said Letters Patent;

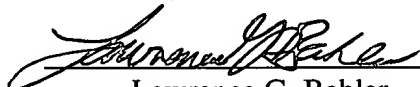
2. Authorize ASSIGNEE to file patent applications in any or all countries on any or all of said inventions and discoveries in my name or in the name of ASSIGNEE or otherwise as ASSIGNEE may deem advisable, under International Conventions or otherwise;

3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments throughout the world to issue or transfer all said Letters Patents to ASSIGNEE, as assignee of the entire right, title, and interest therein or otherwise as ASSIGNEE may direct;

4. Warrant that we have not knowingly conveyed to others any right in said inventions, discoveries, applications, or patents, or any license to use the same, or to make, use, or sell anything embodying or utilizing any of said inventions or discoveries; and that we have good right to assign the same to ASSIGNEE without encumbrance;

5. Bind our heirs, legal representatives, and assigns, as well as ourselves, to do, upon ASSIGNEE's request and at ASSIGNEE's expense, but without additional consideration to us or them, all acts reasonably serving to assure that said inventions and discoveries, said patent applications, and said Letters Patents shall be held and enjoyed by ASSIGNEE as fully and entirely as the same could have been held and enjoyed by us, our heirs, legal representatives, and assigns if this Assignment had not been made; and particularly to execute and deliver to ASSIGNEE all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by ASSIGNEE; and to communicate to ASSIGNEE all facts known to me relating to said inventions and discoveries or the history thereof, and to testify as to the same in any court or proceeding; and to furnish ASSIGNEE any and all documents, photographs, models, samples, and other physical exhibits in my control or in the control of our heirs, legal representatives, or assigns which may be useful for establishing the facts of my conceptions, disclosures, and reduction to practice of said inventions and discoveries.

WITNESS my hand and seal this 3rd day of July, 2001.


Lawrence G. Bahler

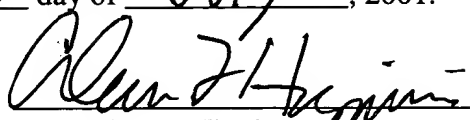
State of California:

County of San Diego:

Then personally appeared before me this ____ day of _____, 2001, the above named Lawrence G. Bahler who acknowledged the foregoing instrument to be his free act and deed.

Notary Public
My Commission expires:

WITNESS my hand and seal this 3 day of July, 2001.


Alan L. Higgins

State of California:

County of San Diego:

Then personally appeared before me this ____ day of _____, 2001, the above named Alan L. Higgins who acknowledged the foregoing instrument to be his free act and deed.

Notary Public
My Commission expires:

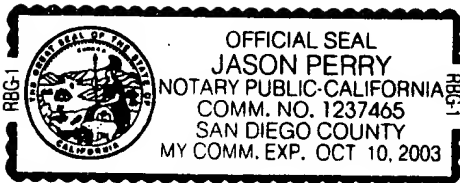
CALIFORNIA ALL PURPOSE ACKNOWLEDGEMENT

State of California
County of San Diego

On July 03rd, 2001 before me, Jason Perry - Notary Public
Date

personally appeared ALAN LAWRENCE HIGGINS
Name(s) of Signer(s)

☒ Personally Known to me or - ☒ Proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and that by his/~~her/their~~ signature(s) on the instrument the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

[Signature]

Optional

Though the data below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent reattachment of this form.

CAPACITY CLAIMED BY SIGNER DESCRIPTION OF ATTACHED DOCUMENT

☒ Individual

☐ Individuals

☐ Other: /

Patent Assignment

TITLE OR TYPE OF DOCUMENT

3

NUMBER OF PAGES

July 3, 2001

DATE OF DOCUMENT

SIGNER(S) OTHER THAN NAMED ABOVE

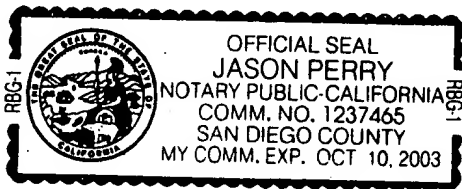
CALIFORNIA ALL PURPOSE ACKNOWLEDGEMENT

State of California
County of San Diego

On July 03rd, 2001 before me, Jason Perry - Notary Public
Date

personally appeared LAWRENCE GEORGE BAHLER
Name(s) of Signer(s)

☒ ~~Personally known to me~~ or - ☒ Proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and that by his/~~her/their~~ signature(s) on the instrument the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.



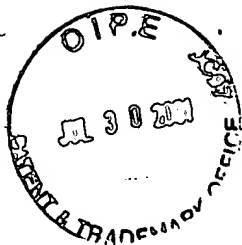
WITNESS my hand and official seal.

Jason Perry

Optional

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CAPACITY CLAIMED BY SIGNER	DESCRIPTION OF ATTACHED DOCUMENT
<input checked="" type="checkbox"/> Individual	<u>ASSIGNMENT</u> TITLE OR TYPE OF DOCUMENT
<input type="checkbox"/> Individuals	<u>Three</u> NUMBER OF PAGES
<input type="checkbox"/> Other: <u>/</u>	<u>JULY 3, 2001</u> DATE OF DOCUMENT
	_____ SIGNER(S) OTHER THAN NAMED ABOVE



Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/772,651	
	Filing Date	January 30, 2001	
	First Named Inventor	Lawrence G. Bahler	
	Group Art Unit	2122	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	8	Attorney Docket Number	111972.125

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Assignment Papers <i>(for an Application)</i>	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i>
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) <i>(please identify below)</i>
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	* Recordation Form Cover Sheet	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Gary A. Walpert (REg. no. 26,098)
Signature	
Date	7/27/01

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 7/27/01			
Typed or printed name	Laura Labier		
Signature		Date	7/27/01

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 40.00

Complete if Known

Application Number	09/772,651
Filing Date	January 30, 2001
First Named Inventor	Lawrence G. Bahler
Examiner Name	Unknown
Group Art Unit	2122
Attorney Docket No.	111972.125

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

08-0219

Deposit
Account
Name

Hale and Dorr

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
101	710	201	355	Utility filing fee
106	320	206	160	Design filing fee
107	490	207	245	Plant filing fee
108	710	208	355	Reissue filing fee
114	150	214	75	Provisional filing fee

Fee Paid

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20** =	X	
Independent Claims	-3** =	X	
Multiple Dependent			

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	\$40.00
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40.00

SUBMITTED BY

Name (Print/Type) Gary A. Walpert

Registration No.
(Attorney/Agent)

26,098

Complete (if applicable)

Telephone 617-526-6000

Signature

Date

7/27/0

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Total Number of Pages in This Submission	4	Attorney Docket Number	111972.125

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SEP 21 2001
Technology Center 2100

ENCLOSURES (check all that apply)		
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<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)
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<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	* Request to Correct Filing Receipt * Copy of Filing Receipt	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Gary A. Walpert (Reg. no. 26,098)
Signature	
Date	7/27/01

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 7/27/01			
Typed or printed name	Laura Labier		
Signature		Date	7/27/01

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